PTO/SB/21 (04-07)

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	Application Number	10/738,459
TRANSMITTAL	Filing Date	December 17, 2003
FORM	First Named Inventor	Tour
	Art Unit	1753
(to be used for all correspondence after initial filing	Examiner Name	Edna Wong
Total Number of Pages in This Submission	Attorney Docket Number	11321/P060US
	ENCLOSURES (Check all	ll that apply)
Fee Transmittal Form	Drawing(s)  Licensing-related Papers	After Allowance Communication to TC  Appeal Communication to Board
Amendment/Reply After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition to Convert to a Provisional Application Power of Attomey, Revocatic Change of Correspondence / Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Ct	Address Status Letter Other Encourse(s) (please Identify below): Statement Under 37 CFR 3.73(b) in response to Notice Regarding Power of Attorney
SIGNATU	IRE OF APPLICANT, ATTO	DRNEY, OR AGENT
Firm Name Winstead PC	7-2	
Signature	21	
Printed name Robert C. Shaddox	7	
Date <b>6</b> /5(2)	F	Reg. No. 34,011
I hereby certify that this correspondence is being	TIFICATE OF TRANSMISS g facsimile transmitted to the USPT	SION/MAILING  FO or deposited with the United States Postal Service with or Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
the date shown below: Signature		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a brenif by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S. 0.7.22 and 37 CFR 1.11 and 114. This collection is estimated to 2. Doc compriles, prosting gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestors for reducing this burden, about do sent to the Chief Information CVI. U.S. Patert and Trademark Office, U.S. Department of Commerce, P.O. 80x 1469, Alexandria, VA. 2213-1460, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ACDRESS. SEND TO: Commissioner for Patents, P.O. BOX 1459, Alexandria, VA. 2213-1450.

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STATEMENT UNDER 37 CFR 3.73(b)	
Applicant/Patent Owner: William Marsh Rice University	
Application No./Patent No.: 10/738,459 Filed/Issue Date: December 17, 20	103
Entitled: USE OF MICROWAVES TO CROSSLINK CARBON NANOTUBES	
William Marsh Rice University a	rtnership, university, government agency, etc.)
states that it is: 1. ☑ the assignee of the entire right, title, and interest; or	
an assignee of less than the entire right, title and interest     (The extent (by percentage) of its ownership interest is%)	
in the patent application/patent identified above by virtue of either:	
A [7] An assignment from the inventor(s) of the patent application/patent identified abov in the United States Patent and Trademark Office at Reel 015436 , Frame thereof is attached.  OR	e. The assignment was recorded 0746 , or for which a copy
B. A chain of title from the inventor(s), of the patent application/patent identified above	e, to the current assignee as follows:
To:     The document was recorded in the United States Patent and Trademark O Reel, Frame, or for which a copy the:      From:     To:     The document was recorded in the United States Patent and Trademark O Reel, Frame, or for which a copy the patent and Trademark O Reel, Frame, or for which a copy the patent and Trademark O Reel, Frame, or for which a copy the patent and Trademark O Reel, Frame, or for which a copy the patent and Trademark O Reel, Frame, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel, or for which a copy the patent and Trademark O Reel	eof is attached.
3. From:	
The document was recorded in the United States Patent and Trademark O Reel, Frame, or for which a copy i	ffice at hereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.	
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.	from the original owner to the 11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) n Division in accordance with 37 CFR Part 3, to record the assignment in the rec 302.08]	nust be submitted to Assignment ords of the USPTO. See MPEP
The undersigned (whose title is supplied below) is authorized to act on behalf of the assi	gnee.
	5 June 2008
Signature	Date
Robert C. Shaddox	713/650-2764
Printed or Typed Name	Telephone Number
Attorney for Applicants	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Title



P.O. Box 50784 Dallas, TX 75201

# United States Patent and Trademark Office

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Address: COMMISSIONER FOR PATENTS inis 22313-1450

APPLICATION NUMBER

FILING OR 371(C) DATE 12/17/2003

FIRST NAMED APPLICANT James M. Tour

ATTY, DOCKET NO/TITLE 11321-P060US

10/738,459 Ross Spencer Garsson 400 North Ervay Street

**CONFIRMATION NO. 9579** 

IMPROPER CPOA LETTER

RECEIVED ON

Date Mailed: 04/11/2008

APR 1 5 2008 BY WINSTEAD

## NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/31/2008. The Power of Attorney in this application is not accepted for the reason(s) listed below:

• The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.

/sibrahim/

Office of Initial Patent Examination (571) 272-4000 or 1-800-PTO-9199

PTO/SB/81 (01-06)
Approved for use through 12/31/2008. OMB 0551-0035
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POWER OF ATTORNEY and **CORRESPONDENCE ADDRESS** INDICATION FORM

Under the Paperwork Reduction Act of 1995, no persons are requ

	ormation unless it displays a valid OMB control number
Application Number	10/738,459
Filing Date	December 17, 2003
First Named Inventor	James M. Tour
Title	Use of Microwaves to Crosslink
Art Unit	1753
Examiner Name	WONG, Edna
Attender Decket Number	44924 DOCOLIC

ereby revoke	all previous powers of attorney give	en in the above-identified ap	oplication.		
ereby appoint	t r				
Practitioners :	associated with the Customer Number:				
OR					
Practitioner(s)	) named below:				
	Name	Reg	jistration Numbe	r	
Robert C, Sh	addox		34,011		
Victor Behar			60,691		
Sarah S. Bitti	ner		47,426		
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OR The addre- OR Firm or Individual Address City Country Telephone the: Applicant/In Assignee of Statement of	se associated with the above-mentioned Ct se associated with Customer Number: al Name al Name hventor, f record of the entire interest. See 37 CFR, under 37 CFR 3, 73(b) is enclosed, (Form F	State     Email	Date		t2ola∞€
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 13, 2004

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WINSTEAD SECHREST & MINICK P.C. ROSS SPENCER GARSSON P.O. BOX 50784 DALLAS, TX 75201-0784

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA. PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE. ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY. SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/09/2004

REEL/FRAME: 015436/0746 NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TOUR, JAMES M.

DOC DATE: 12/19/2003

ASSIGNOR: STEPHENSON, JASON J.

DOC DATE: 06/02/2004

ASSIGNOR:

DYKE, CHRISTOPHER A.

DOC DATE: 01/05/2004

ASSTGNOR:

YAKOBSON, PORIS I

DOC DATE: 05/25/2004

ASSTGNEE:

WILLIAM MARSH RICE UNIVERSITY 6100 MAIN ST.

HOUSTON, TEXAS 77843

015436/0746 PAGE 2

SERIAL NUMBER: 10738459 PATENT NUMBER:

FILING DATE: 12/17/2003

ISSUE DATE:

TITLE: USE OF MICROWAVES TO CROSSLINK CARBON NANOTUBES

MARCUS KIRK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 06-14-2004

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## ATTACHMENT TO RECORDATION FORM COVER SHEET

## 1. Names of parties (cont'd):

Jason J. Stephenson 7042 Atasca Creek Dr. Humble, TX 77346 USA

Execution date: June 2, 2004

Christopher A. Dyke 3333 Cummins, No. 408 Houston, TX 77027 USA

Execution Date: January 5, 2004

Boris I Yakobson 2006 Quenby Road Houston, TX 77005 USA

Execution Date: May 25, 2004

HOUSTON\_1\725965\1 11321-P060US 06/03/2004

## Assignment of Invention

WHEREAS, I, James M. Tour, 4625 Spruce Street, Bellaire, TX 77401; and I, Jason J. Stephenson, 7042 Atasca Creek Dr., Humble, TX 77346 USA; and I, Christopher A. Dyke, 3333 Cummins, No. 408, Houston, TX 77027 USA; and I, Boris I. Yakobson, 2006 Quenby Road, Houston, TX 77005 USA have invented certain new and useful improvements in: "USE OF MICROWAVES TO CROSSLINK CARBON NANOTUBES" for which an application for Letters Patent was filed on December 17, 2003 under Serial Number /

WHEREAS, WILLIAM MARSH RICE UNIVERSITY, a corporation organized under the laws of Texas, having a principal office and place of business at 6100 Main Street, Houston, Texas 77843, USA desires to acquire from James M. Tour, Jason J. Stephenson, Christopher A. Dyke and Boris I. Yakobson the entire right, title and interest they have in and to said invention and in and to any Letters Patent that may be granted thereof in the United States and its territorial possessions, and any and all countries foreign to the United States of America;

NOW, THEREFORE, for valuable consideration, the receipt whereof is hereby acknowledged, I, by these presents do sell, assign and transfer unto said ASSIGNEE, all of my rights to the said invention in the United States and its territorial possessions and all countries foreign to the United States of America, and my entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof, and to any and all Letters Patent which may be granted therefor in all countries foreign to the United States.

I hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and Patent Office Officials in all countries foreign to the United States to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the use and behalf of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this Assignment and sale not been made.

Further, I agree that I will communicate to said ASSIGNEE or its representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful

papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions, and in all countries foreign to the United States.

The undersigned hereby grants authorized representatives of the above identified ASSIGNEE the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

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EXECUTEDAT: Houston /	034
(City, Sta	ate, Country)
Date: 12/19/03	357
Ja	mes M. Tour
EXECUTED AT:	
(City, Sta	ite, Country)
Date:	
Ja	son J. Stephenson
EXECUTED AT:	
	te, Country)
Date:	
	ristopher A. Dyke
	•
EXECUTED AT:	
(City, Stat	te, Country)
Date:	
Bo	ris I Vakobson

#### Assignment of Invention

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EXECUTED AT:	(0) 0 4	_ ;
	(City, State, Country)	
Date:	James M. Tour	_
EXECUTED AT: H	(City, State, Country)  Jason K-Srephenson	2
EXECUTED AT:	(City, State, Country)	
Date:	Christopher A. Dyke	_
EXECUTED AT:	(City, State, Country)	
Date:	Boris I. Yakobson	

## Assignment of Invention

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EAECU	IED AT:
	(City, State, Country)
Date:	<u> </u>
	James M. Tour
EXECU	TED AT:
	(City, State, Country)
Date:	
	Jason J. Stephenson
EXECUTED A	r: Howston, Texts USA (City, State, Country)
Date: 01-05-	11.
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EXECUTED AT	
	(City, State, Country)
Date:	The state of the s
	Boris I. Yakobson

#### Assignment of Invention

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	EXECUTED AT:
	(City, State, Country)
Date:	
	James M. Tour
	EXECUTED AT:
	(City, State, Country)
Date:	
	Jason J. Stephenson
EXEC	CUTED AT:
	(City, State, Country)
Date:	
	Christopher A. Dyke
EXEC	City, States Country)
	(City, State Country)
Date:	May 25 20dy for Jacobson
	Boris I Wakobson